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PROBLEM STATEMENT

A rod pump is a simple device that is used the world over to pump for oil on land, see figures 1 and 2. Basically, we drill a hole into the ground and cement the hole (with a well casing) so that a nice vertical cavity results. Into this cavity a rod is inserted that is going to move up and down using a mechanical device that is called the rod pump. Attached to

the bottom of the rod is a plunger that is a cylindrical "bottle" used to transport the oil. On the downward stroke, the plunger is allowed to be filled with oil, and on the upward stroke this oil is transported to the top where it is extracted and put into barrels.

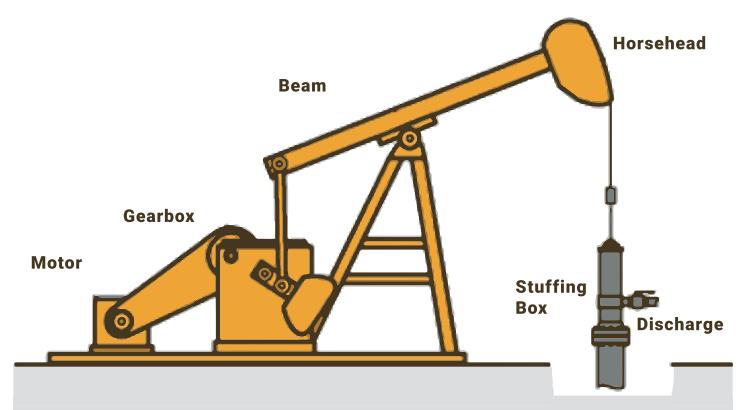


Figure 1

A schematic of a rod pump. The motor drives the gearbox, which causes the beam to tilt. This drives the horsehead up and down. This assembly assures that the rotating motion of the motor is converted into a linear up-down movement of the rod. The stuffing box contains the oil that is discharged through a valve on the top of the well.

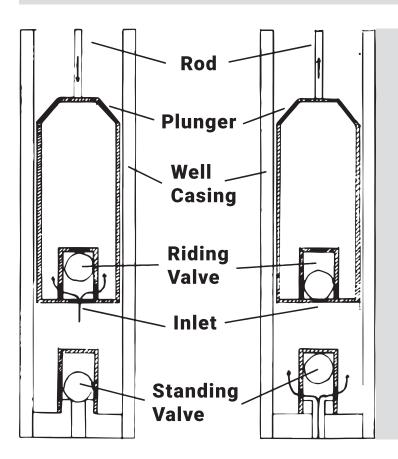


Figure 2

A schematic of the well bottom. The rod drives the plunger down into the well guided by the well casing. The bottom of the plunger has a so-called riding valve to take in the oil through the inlets. The bottom of the well is closed off to the reservoir with a so-called standing valve that opens once the plunger is at the bottom.

Let us focus our attention on two variables of this assembly: the displacement of the rod as measured from its topmost position and the tension force in the rod. When we graph these two variables against each other such that the displacement goes on the horizontal and the tension on the vertical axis, we will find that, as the system is in cyclic motion, the curve is a closed locus. This is called the dynamometer card of the rod pump, see Figure 3 (01) for an example of expected operations. To travel once around the locus takes the same amount of time as it takes the

rod pump to complete one full cycle of downstroke and upstroke. A normal rod pump makes four strokes per minute.

It is a remarkable observation that the shape of this locus allows us to diagnose any important problem with the rod pump [1]. In Figure 3 we display dynamometer card examples for the most common problems.

We will go into a little detail on these shapes and their respective problems because it is an exceptional fact that a complete diagnosis can be made so readily from a single image. This approach should be possible for a variety of other machines once only the correct measurements and the correct way of presenting them are identified. That is the deeper reason behind presenting these here.

It should be encouraged to seek a similar presentation of faults in other machinery.

The interpretations of the 23 images in Figure 3 are as follows:

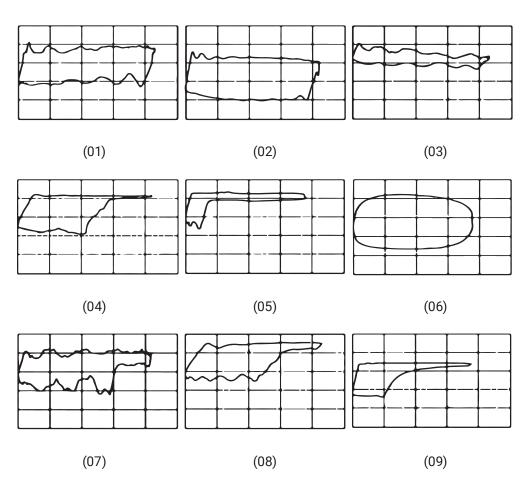


- 01. This is the shape we expect to see on a properly working rod pump. The upper and lower horizontal features are nearly parallel and the diagram is close to the theoretically expected diagram.
- **02.** Another example of fairly good operations.
- 03. The two horizontal features are sloping somewhat downward, are much closer each other and more wave-shaped than in the good case. This is due to excessive vibration

- of the rod.
- 04. The lower right-hand corner of the card is missing but the two horizontal features are still horizontal. This indicates that the plunger is not being filled fully but that the pump is working properly.
- **05.** A more severe case of the last kind.
- **06.** The pump is working properly but the oil is very thick.
- **07.** These distinctive jagged features with the lower right corner missing

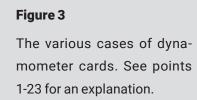
- are caused by the presence of sand in the oil. This will cause damage to the rod assembly in the short term.
- os. The lower right-hand corner is missing and the horizontal features are no longer horizontal; the bite taken out of the lower right corner has an exponential boundary. This is caused by gas emerging from the reservoir along with the oil and slowing of the downward plunge.
- **09.** A more severe case of the last kind.
 - 10. A similar case to the former kind. Here the gas forms an air-lock inside the plunger preventing the plunger from draining at the top.
 - 11. The bottom horizontal feature is rounded and/or lifted up making the whole card significantly smaller. This is due to a leaking inlet valve.
 - 12. The opposite feature to above.

 Here the top horizontal feature
 is rounded and/or pressed
 down making the whole card
 smaller. This is due to a leaking
 outlet valve.



(11)

(12)

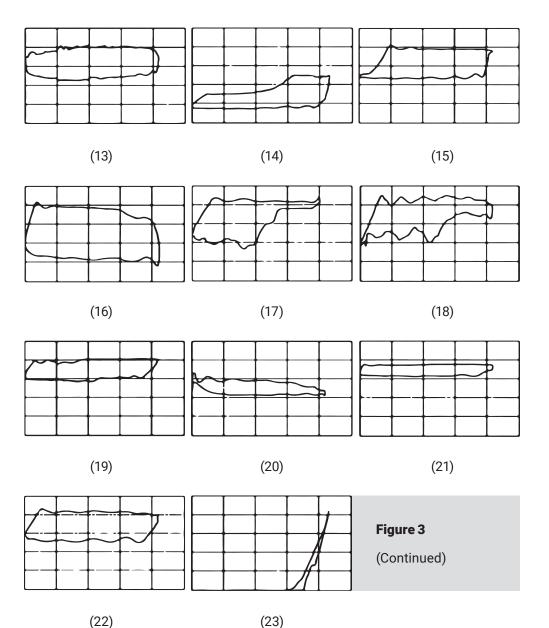


(10)

- **13.** This oval feature results from a combination of both the inlet and the outlet valve leaking. Note that this is a fairly flat oval compared to the oval of image (06).
- **14.** The top left-hand corner is missing and the boundary is in the shape of an exponential curve; compare with (08) and (09). This is due to a delay in the closing of the inlet valve.
- **15.** Same as above but for a shorter delay.
- 16. The right side of the card is pressed down. This happens because of a sudden unloading of the oil at the top. The outlet valve is not opening smoothly but suddenly.
- 17. The characteristic upturned top right-hand corner (as opposed to (08)) indicates a collision of the plunger and the guide ring.
- 18. The lower left-hand corner is bent backwards and the top right-hand corner is sloped down in addition to features like (08). This indicates a collision between the plunger and the fixed valve at the bottom of the hole.
- 19. The thin card with concave loading and unloading dents on upper left and lower right corners indicates a resistance to the flow of the oil such as the presence of paraffin wax.
- 20. A very thin but long card in the

- middle of the theoretically expected card with wavy horizontal features indicates a broken rod.
- 21. A thin long card with straight horizontal features indicates that the plunger is filling too fast due to a high pressure inside the reservoir. The plunger should be exchanged for a larger one.
- **22.** The card looks normal but is too thin, particularly on the bottom. This is due to tubing leakage.

23. The piston is sticking to the walls of the hole and bending the rod.



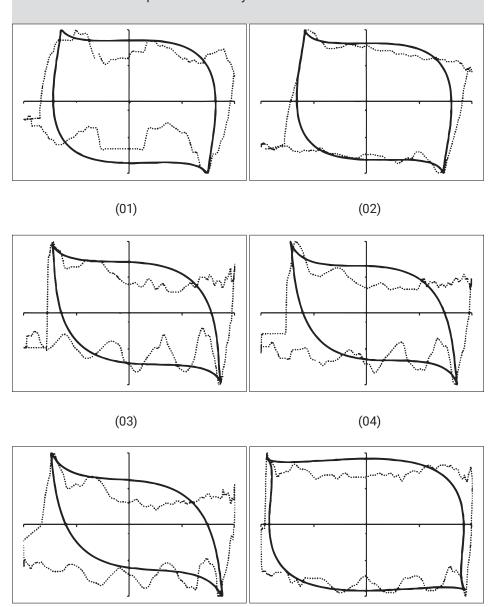
It can easily be seen that the diagnosis of problems is immediate from the shape given a little experience in the matter. In fact, it has been shown that the diagnosis can be automated by recognizing the shape with a perceptron neural network [2].

(06)

Predicting abnormalities for early diagnosis and rectification

Figure 4:

Figure 4: The modeling of a dynamometer card's evolution in time. Each card was measured 2000 pump cycles (about 8.3 hours) after the previous image. Images (1) and (2) at the top are historical data providing the model with the initial data. Using this, the model predicts images (3) to (5) and indicates that at image (5) we have a problem requiring attention. The maintenance measure is performed and we observe, see image (6), the establishment of operations as they should be.



Our purpose here is to investigate whether we can predict the future shape of the dynamometer card and thus diagnose a situation today that will lead to a problem in the next few days. A card usually consists of 144 observations of the displacement and the tension. We first transform this data into shape information by fitting a geometrical prototype to each card. This prototype has only 7 free parameters. Thus, the shape of a card is characterized by 7 parameters. Figure 4 demonstrates this fit in six examples over the time evolution of a particular rod pump.

These shape parameters can now be used as the basis for a prediction of future shapes. Using a recurrent neural network [4], we use some history to create an evolutionary model (Figure 4, images (1) to (2)) and then let this model predict the future (Figure 4, images (3) to (5)) with image (3) representing the present moment. As the prediction agrees quite well with the measurement, we have demonstrated that this approach can indeed predict future problems with dynamometer cards that call for the operator's attention and maintenance. Note that the problem in image (5) and the moment at which the prediction is made are separated by 4000 pump cycles or about 16.7 hours. This is enough warning time for practical maintenance to react.

(05)

CONCLUSION

In conclusion, we note that a recurrent neural network can reliably predict a future fault of a rod pump system via predicting the future model parameters of a mathematical formulation of the dynamometer card. In this example, the prediction could be made 16.7 hours in advance of the problem.



References

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§ [4] Bangert, P.D. (2012): Optimization for Industrial Problems. Springer Verlag.